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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: ABBOTT SPINE

Application No./Patent No.: See Attachment A Filed/Issue Date: See Attachment A

Entitled: See Attachment A

ABBOTT SPINE, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

Todd Dawson

Printed or Typed Name

Vice President, Global Intellectual Property, Zimmer, Inc.

signing on behalf of wholly owned subsidiary Abbott Spine

Title

11/24/08  
Date

(574) 372-4281  
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## ATTACHMENT A

Application #	Patent #	Reel/Frame for Assignee Spinal Concepts	Reel/Frame for Assignee Abbott Spine Inc.	SIPLG Docket No.
09/684628		011515/0894	021824/0852	ZIMM1180
10/387329		014380/0261	021824/0852	ZIMM1190-2
09/479458	6331179	010732/0443	021824/0852	ZIMM1230
10/015206	6964664	010732/0443	021824/0852	ZIMM1230-1
10/735976		010732/0443	021824/0852	ZIMM1230-2
10/838575		015700/0608	021824/0852	ZIMM1420
10/937180		021875/0431	021884/0380	ZIMM1440
10/717379		021875/0422	021884/0731	ZIMM1480
10/331191	7112223	014164/0207	018135/0468	ZIMM1700-1
10/291223		013786/0320	021824/0852	ZIMM1750-2
08/740123	6416515	008359/0658	021824/0852	ZIMM1860
08/942325	6595992	008802/0192	021824/0852	ZIMM1860-1
09/026711	5989250	014210/0603	021824/0852	ZIMM1860-2
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09/153178	6080193	009603/0209	021824/0852	ZIMM1870-1
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09/085186	6053921	009874/0023	021824/0852	ZIMM1880-1
09/211887	5935133	008985/0594	021824/0852	ZIMM1880-2
09/211888	6391030	008985/0594	021824/0852	ZIMM1880-3
09/360062	6682533	010396/0094	021824/0852	ZIMM1880-4
09/089027	6454769	009450/0947	021824/0852	ZIMM1890-1
09/095290	6030389	009450/0959	021824/0852	ZIMM1890-2
08/896281	5928243	008932/0159	021824/0852	ZIMM1900
09/159303	6146382	009667/0352	021824/0694	ZIMM1920

## ATTACHMENT A

09/070323	6099527	009650/0211	021824/0852	ZIMM1930
09/229297	6123707	009840/0875	021824/0852	ZIMM1990
09/298269	6442814	010085/0138	021824/0852	ZIMM2000
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09/478923	6447512	010765/0303	021824/0852	ZIMM2020
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09/684218	6872208	011518/0637	021824/0852	ZIMM2040
09/680756	6887241	011593/0900	021824/0852	ZIMM2050
10/356391	7303564	014181/0907	021824/0852	ZIMM2060-1
29/155057	D505205	012823/0549	021824/0852	ZIMM2080

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to Spinal Concepts, Inc. (the "Assignee"), having a place of business at 12012 Technology Blvd., Suite 100, Austin, Texas 78727 the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/684,628 filed on October 6, 2000, and is entitled **"TRANSVERSE CONNECTOR WITH CAM ACTIVATED ENGAGERS"**, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature) *G. Grady McBride*  
Name: G. Grady McBride

Date: 1-17-01

BEFORE ME, the undersigned authority, on this 17<sup>th</sup> day of January, 2001, personally appeared Robert J. Jones, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Lucia G. Simard  
My Commission CC638198  
Expires April 13, 2001

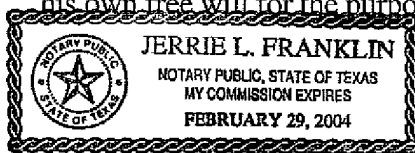
*Lucia G. Simard*  
Notary or Consular Officer

[SEAL]

(Signature) *Robert J. Jones*  
Name: Robert J. Jones

Date: 010700

BEFORE ME, the undersigned authority, on this 1<sup>st</sup> day of January, 2001, personally appeared G. Grady McBride, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.



[SEAL]

*Jerrie L. Franklin*  
Notary or Consular Officer

ERIC B. MEYERTONS  
CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398



5254-04700  
**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 30, 2001

PTAS

CONLEY, ROSE & TAYON, P.C.  
ERIC B. MEYERTONS  
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\*101617950A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/12/2001

REEL/FRAME: 011515/0894  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:**

MCBRIDE, G. GRADY

DOC DATE: 01/17/2000

**ASSIGNOR:**

JONES, ROBERT J.

DOC DATE: 01/17/2000

**ASSIGNEE:**

SPINAL CONCEPTS, INC.  
12012 TECHNOLOGY BLVD.  
SUITE 100  
AUSTIN, TEXAS 78727

SERIAL NUMBER: 09684628  
PATENT NUMBER:

FILING DATE: 10/06/2000  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

MAY 4 2001

# Delaware

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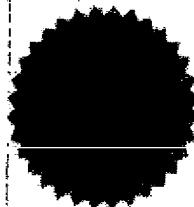
*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SPINAL CONCEPTS, INC.", CHANGING ITS NAME FROM "SPINAL CONCEPTS, INC." TO "ABBOTT SPINE INC.", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF APRIL, A.D. 2005, AT 6:51 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

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*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 3826252

DATE: 04-21-05

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